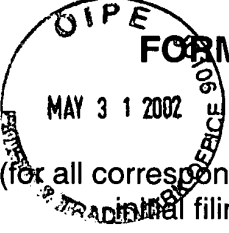
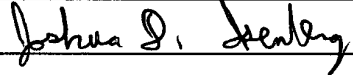
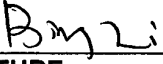
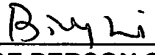


06-04-02  
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#3

<b>TRANSMITTAL</b>  (for all correspondence after initial filing)	Agent's Docket No. <b>0NX-103/CIP</b>	Total Pages
	Application Number <b>10/060,067</b>	
	Filing Date <b>1/29/2002</b>	
	First Named Inventor <b>KRISTOFER MORTZHEIM ET AL</b>	
	Group Art Unit <b>2874</b>	
	Examiner <b>NOT YET ASSIGNED</b>	

ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Response/Amendment <input type="checkbox"/> After Final Rejection <input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> with Corrected Drawing(s) Total Sheets: <input type="checkbox"/> <input type="checkbox"/> with Affidavits/Declarations <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request	<input checked="" type="checkbox"/> Copy of Missing Parts Notice <input checked="" type="checkbox"/> Applicant Claims Small Entity Status <input checked="" type="checkbox"/> Declaration by Inventors <input checked="" type="checkbox"/> Assignment papers <input checked="" type="checkbox"/> Power of Attorney by Assignee <input checked="" type="checkbox"/> with CFR 3.73(b) statement <input checked="" type="checkbox"/> IDS/PTO-1449 <input type="checkbox"/> with copies of cited references <input type="checkbox"/> New Power of Attorney and Revocation of Old <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Other:

SIGNATURE OF AGENT	
NAME	JOSHUA D. ISENBERG, REG. NO. 41,088
Signature	
Date	5/31/2002

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 SIGNATURE	DATE OF MAILING: 5/31/2002
 NAME OF PERSON SIGNING	EXPRESS MAIL LABEL NO: EF 063193863 US

w

MAY 31 2002

## FEE TRANSMITTAL

Application Number:	10/060,067
Filing Date:	1/29/2002
First Named Inventor:	KRISTOFER MORZHEIM et al
Title of Invention:	FLOATING OPTICAL CARRIER
Group Art Unit:	2874
Examiner:	Not yet assigned
Agent's Docket No.:	ONX-103/CIP

**Fee Calculation:**for ☐ Large Entity / ☒ Small Entity.**Basic Billing Fee:**

<input type="checkbox"/> Utility Patent Application:	\$740 / \$370	\$ 370
<input type="checkbox"/> Provisional Patent Application:	\$160 / \$80	\$

**Claims:**

<input checked="" type="checkbox"/> Number of Total Claims Over 20: <input type="checkbox"/> 10	\$18 / \$9 =	\$ 90
<input type="checkbox"/> No. of Independent Claims Over 3: <input type="checkbox"/>	x \$84 / \$42 =	\$

**Other Fees:**

<input type="checkbox"/> Extension of time, 1 month	\$110 / \$55	\$
<input type="checkbox"/> Extension of time, 2 months	\$400 / \$200	\$
<input type="checkbox"/> Extension of time, 3 months	\$890 / \$445	\$
<input type="checkbox"/> Extension of time, 4 months	\$1440 / \$720	\$
<input checked="" type="checkbox"/> Missing Parts Surcharge (Regular Application)	\$130 / \$65	\$ 65
<input type="checkbox"/> Missing Parts Surcharge (Provisional Application)	\$50 / \$25	\$
<input checked="" type="checkbox"/> Recordation of Assignment Document	\$40	\$ 40
<input type="checkbox"/> Issue Fee	\$1280 / \$640	\$
<input type="checkbox"/> Printed Patent; Number of Copies: <input type="checkbox"/>	x \$3 =	\$

**TOTAL PAYMENT:** \$ 565**Method of Payment:**☒ Payment Enclosed☒ Checks (2) Check # 309 for \$525, Check # 310 for \$40 (for recordation of assignment)**Signature of Applicant, Attorney, or Agent**Joshua D. Isenberg  
Joshua D. Isenberg, Reg. No. 41,0885/31/2002  
Date**Certificate of Mailing by "Express Mail"**

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/060,067	01/29/2002	Kristofer Mortzheim	ONX-103/CIP

CONFIRMATION NO. 8915

## FORMALITIES LETTER



\*OC000000007775571\*

27652  
JOSHUA D. ISENBERG  
204 CASTRO LANE  
FREMONT, CA 94539

Date Mailed: 04/03/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$90.
  - \$90 for 10 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 525.**

*A copy of this notice **MUST** be returned with the reply.*

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/06/2002 JBALINAM 00000066 10060067

01 FC:201	370.00 DP
02 FC:203	90.00 DP
03 FC:205	65.00 DP